UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/576,421	04/19/2006	Tetsuya Hayashi	043888-0447	9484
53080 7590 02/12/2010 MCDERMOTT WILL & EMERY LLP 600 13TH STREET, NW WASHINGTON, DC 20005-3096			EXAMINER	
			RADEMAKER, CLAIRE L	
WASHINGTOR	N, DC 20005-3096		ART UNIT	PAPER NUMBER
			1795	
			MAIL DATE	DELIVERY MODE
			02/12/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

### UNITED STATES PATENT AND TRADEMARK OFFICE

\_\_\_\_\_

# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Ex parte TETSUYA HAYASHI, and TSUMORU OHATA

Application No. 10/576,421 Technology Center 1795

Mailed: February 12, 2010

\_\_\_\_\_

Before DELORES LOWE, Review Team Paralegal LOWE, Review Team Paralegal.

## ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was electronically received by the Board of Patent Appeals and Interferences on February 10, 2010. A review of the application revealed that it is not ready for docketing as an appeal. Accordingly, the application is herewith being returned to the Examiner to address the following matter requiring attention prior to docketing.

# APPEAL BRIEF, SUMMARY OF CLAIMED SUBJECT MATTER

Appellant filed an Appeal Brief dated July 9, 2009. The Appeal Brief is not in compliance with 37 C.F.R. § 41.37(c) effective September 13, 2004.

According to 37 C.F.R. § 41.37(c)(v), an Appeal Brief must include the following:

(v) Summary Of Claimed Subject Matter. A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which must refer to the specification by page and line number, and to the drawing, if any, by reference characters. For each independent claim involved in the appeal and for each dependent claim argued separately under the provisions of 37 CFR 41.37(c)(1)(vii), every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters.

The "Summary of claimed subject matter" appearing on pages 2 and 3 of the Appeal Brief filed July 9, 2009 is deficient because it does not refer to the specification for independent claims 1 and 5. Correction is required.

MPEP § 1205.03 states in part:

(B) When the Office holds the brief to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the claimed subject matter

as required by 37 CFR 41.37(c)(1)(v) will suffice. Failure to timely respond to the Office's requirement will result in dismissal of the appeal. See MPEP § 1215.04 and § 711.02(b).

## EXAMINER'S CONSIDERATION OF REPLY BRIEF

A Reply Brief was filed in this application on December 14, 2009. There is no evidence on the record indicating that the Examiner has considered the Reply Brief in accordance with 37 CFR § 41.43(a)(1) and MPEP § 1208, part II.

### **CONCLUSION**

Accordingly, it is ORDERED that the application be returned to the Examiner:

- 1) hold the Appeal Brief filed July 9, 2009 defective, as required by 37 CFR § 41.37(d);
- 2) notify the Appellants to submit a "paper" which corrects the Appeal Brief's Summary of Claimed Subject Matter under 37 CFR §41.37(c)(1)(v);
- 3) acknowledge and consider any "paper" submitted by Appellants to correct the Appeal Brief;
  - 4) consider the Reply Brief filed December 14, 2009, as indicated above; and
  - 5) for such further action as may be required.

If there are any questions pertaining to this Order, please contact the Board of Patent Appeals and Interferences at 571-272-9797.

/dal/

Application No. 10/576,421

MCDERMOTT WILL & EMERY LLP 600 13TH STREET, NW WASHINGTON DC 20005-3096